**OUR DELIVERABLES**

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| Ongoing Service | Transactional | Financial Planning | Wealth Management |
| Acces to your Adviser, as required during the year |  | • | • |
| Annual assessment of personal situation and risk profiling |  | • | • |
| Consolidated annual investment report and valuation |  | • | • |
| Unlimited telephone and email support and advice |  | • | • |
| Annual assessment of suitability of products and funds, including performance |  | • |  |
| 6 Monthly assessment of suitability of products and funds, including performance |  |  | • |
| Overview of costs incurred during year |  | • | • |
| Overview of cost projection for next 12 months |  | • | • |
| Quarterley Newsletters and Investment Bulletins | • | • | • |
| Document Records Management (Copy statements and paperwork scanned, recorded and actioned if required) Documents can be requested and forwarded onto you if required) | • | • | • |

**ONGOING SERVICE LEVELS**

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| **Transactional Service**  You will not be enrolled onto an ongoing service plan. You will instead have to pay for ongoing advice as and when required. Your adviser will not be monitoring the ongoing suitability of advice and will not monitor the performance of the funds. Your adviser will forward all statements from providers so that you can review the performance yourself. |
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| **Financial Planning**  This is an ongoing review service suitable for customers who have an established investment or pension portfolio or have a range of assets forming the basis of their wealth, and upon which they are seeking to review and manage with our help. The aim of this level of ongoing service is to help customers maintain and grow the value of their wealth or to ensure that investment income levels are maintained. This level of service is also intended to help the customer increase their knowledge and experience in the financial markets. |
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| **Wealth Management**  This is an ongoing review service suitable for customers who are both knowledgeable and experienced investors, and who have already established an investment or pension portfolio. The aim of this level of service is to provide a wealth preservation strategy, whilst assisting the customer in taking advantage of alternative and non-mainstream investments that offer the opportunity for creating a sustainable growth in the customer’s wealth. |